

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named  
Inventor : Ye Yi Wang

Appln. No. : 09/934,313

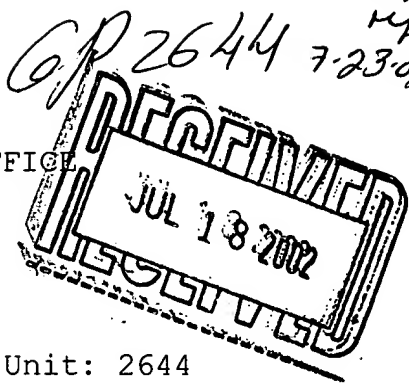
Filed : August 21, 2001

For : METHOD AND APPARATUS FOR  
DYNAMIC GRAMMARS AND  
FOCUSED SEMANTIC PARSING

Docket No.: M61.12-0355

Group Art Unit: 2644

Examiner: ---

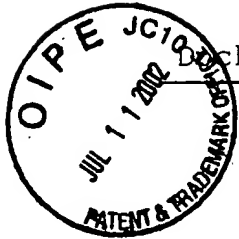


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Theodore M. Magee  
PATENT ATTORNEY

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed.

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123.

Respectfully submitted,

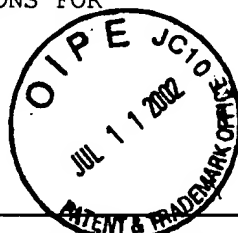
WESTMAN, CHAMPLIN & KELLY, P.A.

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TMM:ajm

<b>FORM PTO-1449</b>		Atty. Docket No.: <b>M61.12-0355</b>	Appl. No.: <b>09/934,313</b>
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		First Named Inventor:	
		<b>Ye Yi Wang</b>	
		Filing Date <b>August 21, 2001</b>	Group Art: <b>2644</b>



## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA						<b>RECEIVED</b> <b>JUL 16 2002</b> <b>Technology Center 2600</b>
AB						
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## FOREIGN PATENT DOCUMENTS

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AH						
AI						

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

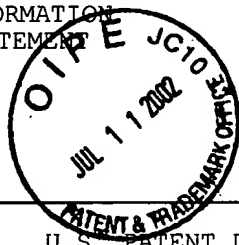
AJ	Alabiso, B. et al. "LEAP: Language Enabled Applications," Proceedings of the First Workshop on Human-Machine Conversation, Bellagio, Italy (July 1997).
AK	Lavie, A., "GLR*: A Robust Parser for Spontaneous Spoken Language," Proceedings of ESSLLI-96 work-shop on Robust Parsing, Prague, Czech Republic (August 1996).
AL	Miller, S. et al., "Hidden Understanding Models of Natural Language," Proceedings of the 31 <sup>st</sup> Annual Meeting of the Association for Computational Linguistics, New Mexico State University (1994).
AM	Ward, W., "The CMU Air Travel Information Service: Understanding Spontaneous Speech," Proceedings of the DARPA Speech and Natural Language Workshop (1990).
AN	Ward, W. "Understanding Spontaneous Speech: the Phoenix System," IEEE International Conference on Acoustics, Speech and Signal Processing (1991).
AO	Microsoft Speech SDK with SAPI 5.0, 94 pages (September 1999).
AP	Wang, K., "Implementation of Multimodal Dialog System Using Extended Markup Languages," 6 pages (undated). "

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BH						
BI						

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

BJ	Wang, K., "A Plan-based Dialog System With Probabilistic Inferences," 5 pages.
BK	Wang, K., "An Event-Driven Model for Dialogue System," Proc. ICSLP-98, (Dec. 1998).
BL	Gorrin, A. et al., "How May I Help You?" Speech Communications, Vol. 23, (1997).
BM	"A Stochastic Model of Human-Machine Interaction for Learning Dialog Strategies," IEEE Transactions on Speech and Audio Processing, Vol. 8, No. 1, pages 11-23, (January 2000).
BN	"The Thoughtful Elephant: Strategies for Spoken Dialog Systems," IEEE Transactions on Speech and Audio Processing, Vol. 8, No. 1, pages 51-62, (January 2000).
BO	"JUPITER: A Telephone-Based Conversational Interface for Weather Information," IEEE Transactions on Speech and Audio Processing, Vol. 8, No. 1, pages 85-96, (January 2000).
BP	Wang, Y. "Robust Language Understanding in MIPAD," 4 pages (undated).

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